

Public Sector Internal Audit Standards Self-Assessment Action Plan

May 2021 / May 2022

Ref	Action	Responsible Officer	Date	Status
1	Update of Internal Audit Manual and associated procedures to ensure they meet the current operational requirements.	Service Lead Audit and Risk / Senior Auditor	September 2021	Complete. Internal Audit Manual and associated procedures updated as part of the ISO 9001 certification for its Quality Assurance System re-accreditation.
2	Ensure that the PSIAS / LGAN self assessment is undertaken annually and reported to senior management and the Governance Committee.	Service Lead Audit and Risk	May 2022	Complete. Self assessment undertaken on an annual basis and presented to Governance Committee in May 21 and May 22. This will continue in future years with the external assessment due to be undertaken in early 2023.
3	Ensure the results of the QAIP are reported annually to both senior management and the Governance Committee	Service Lead Audit and Risk	May 2022	Complete – as above
4	Undertake a review of the Council's arrangements against the CIPFA Code of Practice on Managing the risk of fraud and corruption and Fighting Fraud and Corruption Locally	Service Lead Audit and Risk	November 2021. July 22	In progress. The review has been undertaken an action plan is being developed. Action to be carried forward. Action plan to be fully developed by July 22.
5	Implement a system to ensure all agreed management actions are routinely followed up	Service Lead Audit and Risk	May 2021	Complete. A comprehensive monitoring system has been implemented with Directors received monthly reports. Percentage rates of implementation of management

				action rates as a KPI reported to Corporate Governance Group and Governance Committee.
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